SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Addr	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol CapStar Financial Holdings, Inc. [CSTR]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
				X Director	10% Owner				
		()	3. Date of Earliest Transaction (Month/Day/Year) 12/08/2017	X Officer (give title below) See Re	Other (specify below) emarks				
(Street) NASHVILLE	TN	37203	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Line) X Form filed by One					
(City)	(State)	(Zip)	—	Form filed by More Person	than One Reporting				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	12/08/2017		Х		60,000	A	\$21.68	60,000	D	
Common Stock	12/08/2017		F		36,517	D	\$21.68	36,517	D	
Common Stock ⁽¹⁾								1,958	D	
Common Stock								50,731 ⁽³⁾	D	
Common Stock								2,500	Ι	Reece W. Tucker ⁽²⁾
Common Stock								25,000	I	Charles H. Sr. and Ruth E. Whitfield, as joint tenants ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	umber vative urities uired or oosed o) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$10	12/08/2017		x			60,000	(4)	11/13/2018	Common Stock	60,000	\$0.00	145,000	D	

Explanation of Responses:

1. The reported item includes an initial award of 2,837 shares of restricted stock which vests in three equal installments beginning on the first anniversary of the March 2, 2016 grant date. As of the date of this report, 946 of those shares have vested. The reported item also includes an award of 100 shares of restricted stock which vests in three equal installments beginning on the first anniversary of the September 1, 2016 grant date. As of the date of this report, 33 of those shares have vested.

2. The reporting person disclaims beneficial ownership of these securities except to the extent of her pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

3. Includes 500 shares of common stock that were purchased pursuant to the issuer's Directed Share Program in connection with the initial public offering of the issuer's common stock. These shares were previously inadvertently omitted due to a mathematical error

4. The reported item represents an initial award of an option to purchase a total of 210,000 shares of common stock which vested and became exercisable in four equal annual installments beginning on the first anniversary of the November 13, 2008 grant date.

Remarks:

President and Chief Executive Officer of CapStar Financial Holdings, Inc.

/s/ Rob Anderson, as Attorney-12/12/2017 in-Fact for Claire W. Tucker ** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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