SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
OMB Number: 3235-0104

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

BOTTORFF DENNIS     (f)       (Last)     (First)     (Middle)       201 4TH AVENUE NORTH, SUITE 950     (Street)	2. Date of Event Requiring Statement (Month/Day/Year) 09/21/2016		3. Issuer Name and Ticker or Trac CapStar Financial Hold 4. Relationship of Reporting Perso (Check all applicable) X Director Officer (give title below)	<u>ings, Inc</u>	r 5. li (Mo r cify 6. li App	<ul> <li><b>5.</b> If Amendment, Date of Original Filed (Month/Day/Year)</li> <li><b>6.</b> Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> </ul>		
NASHVILLE     TN     37219       (City)     (State)     (Zip)						Form filed b Reporting P	y More than One erson	
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			. Amount of Securities ieneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			1,899	D				
Common Stock			126,833	I D		Dennis C. Bottorff Family 2015 GRAT		
Common Stock			73,617	I		Dennis C. Bottorff Family 2015 GRAT		
Restricted Stock <sup>(1)</sup>			380	D				
Restricted Stock <sup>(2)</sup>			484	D				
Restricted Stock <sup>(3)</sup>			731	D				
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		4. Conversior or Exercise Price of		6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	on Title		Derivative Security	or Indirect (I) (Instr. 5)		
Warrants	07/14/2008	07/14/2018	Common Stock	20,000	10	<b>I</b> <sup>(1)</sup>	See Note 1.	
Option (right to buy)	(4)	11/13/2018	Common Stock	12,000	10	D		
Option (right to buy)	(5)	01/20/2020	Common Stock	6,000	10	D		

Explanation of Responses:

1. The reported item represents the unvested portion of an award of restricted stock on February 27, 2014. The balance of this award vests on the third anniversary of the grant date, or February 27, 2017. 2. The reported item represents the unvested portion of an award of restricted stock on February 27, 2015. 242 shares of restricted stock under this award vest on the second anniversary of the grant date, or

February 27, 2017, and the remaining 242 shares of restricted stotck under this award vest on the second anniversary of the grant date, or February 27, 2018.

3. The reported item vests in three equal installments beginning on the first anniversary of the March 3, 2016, grant date.

4. These options vested in four equal annual installments beginning one year after the November 13, 2008, grant date.

5. These options vested in four equal annual installments beginning one year after the January 20, 2010, grant date.

## **Remarks:**

/s/ Dennis C. Bottorff

<u>09/21/2016</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.